45

PATENT



CERTIFICATE OF MAILING (37 CFR 1.8(a))

GROUP 2700

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed td: BOX IDD, Assistant Commissioner for Patents, Washington, D. C. 20231, on

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

SRIVASTAVA et al.

Serial No.:

09/470,444

Filing Date:

December 22, 1999

PATIENT RULE INDUCTION For: METHOD ON LARGE DISK RESIDENT DATA SETS AND PARALLELIZATION

THEREOF

Examiner:

To be assigned

Group Art Unit:

2786

INFORMATION DISCLOSURE STATEMENT SUBMITTED PRIOR TO THE FIRST OFFICIAL ACTION TRANSMITTAL

BOX DD Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Transmitted herewith is:

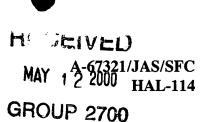
[X]	an Information Disclosure Statement
[X]	A PTO 1449 Form
[X]	Copies of references listed on the PTO 1449 form
[]	English translation of foreign language reference(s)
[]	Copy of foreign examination report
[]	English translation of foreign examination report.
[]	Also transmitted is

A-67321/JAS/SFC HAL-114

1.	[X]	This Information Disclosure Statement is filed <u>before</u> the later of:						
		A. B.	three months from the filing date of this national application; three months from the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or					
		C.	the mailing date of a first Official Action on the merits.					
	In acco	ordance	with 37 CFR 1.97(b), no fee is required.					
2.	[]	This Information Disclosure Statement is filed after the events noted in paragraph 1, but <u>before</u> either:						
·		A. B.	a final action under 37 CFR 1.113, or a Notice of Allowance under 37 CFR 1.311.					
		In acce	In accordance with 37 CFR 1.97(c) also submitted is:					
		[]	a fee under 37 CFR 1.17(p) in the amount of \$240.00; or a certification as stated below.					
3.	[]	This Information Disclosure Statement is filed before the payment of the issue fee, but <u>after</u> either:						
		A. B.	a Final Action under 37 CFR 1.113, or a Notice of Allowance under 37 CFR 1.311.					
		In accordance with 37 CFR 1.97(d) also submitted is:						
		(1) (2) (3)	A certification, as stated below; and The Petition fee set forth in 37 CFR 1.17(i)(1) in the amount of \$130.00. Applicant hereby petitions the Patent Office for entry and consideration of the Information Disclosure Statement submitted herewith.					
<u>Certif</u>	ication							
	The undersigned also states: [] Each item of information contained in the Information Disclosure Statement herein was cited in a communication from a foreign Patent Office in a count foreign application not more than three months prior to the filing date of this							

Serial No. 09/470,444 1009322





Information Disclosure Statement; or

[]	No item of information contained in the Information Disclosure Statement
		submitted herewith was cited in a communication from a foreign patent office in a
		counterpart foreign application or, to the knowledge of the undersigned, having
		made reasonable inquiry, was known to any individual designated in 37 CFR
		1.56(c) more than three months prior to the filing date of this Information
		Disclosure Statement.

The fees indicated above are: TOTAL FEES: \$ 0.00

- [] A check for the amount of TOTAL FEES indicated above is attached.
- [] The Commissioner is hereby authorized to charge \$_____ to cover the payment of the fee(s) indicated below to Deposit Account No. 06-1300.
- [] A check in the amount of \$____ is attached.
- [X] No fee is required.
- [X] The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, including any necessary fees for extension of time, or credit any overpayment to Deposit Account No. 06-1300. (Order No. A-67321/JAS/SFC):
 - [X] Any filing fees under 37 CFR 1.16 including fees for the presentation of extra claims.
 - [X] Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

Date

Steven F. Caserza

Reg. No. 29,780

Flehr Hohbach Test Albritton and Herbert Four Embarcadero Center Suite 3400 San Francisco, CA 94111-4187

Telephone: (415) 781-1989

Serial No. 09/470,444

1009322



A-67321/JAS/SFC HAL-114

CERTIFICATE OF MAILING (37 CFR 1.8(a))

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to BOHDD, Assistant Commissioner for Patents, Washington, D. C. 20231, on

Signature:

Laura Lee Mosier

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

SRIVASTAVA et al.

Serial No.:

09/470,444

Filing Date:

December 22, 1999

For: PATIENT RULE INDUCTION
METHOD ON LARGE DISK RESIDENT
DATA SETS AND PARALLELIZATION

THEREOF

Examiner:

To be assigned

Group Art Unit:

2786

INFORMATION DISCLOSURE STATEMENT SUBMITTED PRIOR TO THE FIRST OFFICIAL ACTION

BOX DD Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

This Information Disclosure Statement is hereby submitted in accordance with 37 CFR 1.98 and pursuant to Applicant's continuing duty under 37 CFR 1.56 to bring any information which may be material to patentability of this application to the Examiner's attention.

Applicant makes no representation that a complete search has been conducted by the Applicant, or that there is not possibly more relevant art. Applicant also makes no representation that the information submitted herewith is in fact material.



A-67321/JAS/SFC HAL-114

The enclosed publications were cited a foreign examination report in a related case. A copy of the search report and the cited references are attached hereto.
The search report is not in the English language and a translation of the search report is enclosed.
At least one of the enclosed publications is not in the English language.
English translation(s) are enclosed.
Enclosed is an explanation of the relevance of non-English publications for which an English translation is not available.

The subject application is believed patentable over any of the references listed on the attached PTO 1449 form.

search report.

[]

Respectfully submitted,

The explanation is in the form of an English language

Date

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11/00

Telephone: (415) 781-1989

Steven F. Caserza Reg. No. 29,780

INFORMATION DISCLOSURE				ATTY. DOCKET NO. A-67321/JAS/SFC		SERIAL NO. 09/470,444		
STATMENT PTO-1449				APPLICANT Srivastava et al.				
				FILING DATE December 22, 199	FILING DATE EXAMINER GROUP December 22, 1999 Not assigned 2786			_
			U.S. PATENT DO	DCUMENTS				
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME		CLASS	SUBCLASS	FILING DATE	
	5,787,274	07/28/98	Agrawal et	al.	395	613	11/29/95	
	5,813,019	09/22/98	Van de Van	Feb	707	512	07/0	6/95
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EXAMINER'S INITIALS					CLASS	SUBCLAS S	Translation	
INTIALS	PATENT NO.	DATE	COUNTRY				Yes	No
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0'	THER DOCUMENT	S (Includin	ng Author, Ti	tle, Date, Per	tinent Pa	ages, Etc.)		
	Statistics a	and Stanfo	rd Linear A	n High-Dimens ccelerator Ce 1998, pp. 1-3	nter, St	ta," Dept anford Uni	of iversi	ty,
. 1	Mehta et al.	., "SLIQ:	A Fast Scal	able Classifi ry Road, San	er for I		j," IE	3M
	Srivastava et al., "An Efficient, Scalable, Parallel Classifier for Data Mining," Dept. of Computer Science, University of Minnesota, Dept. of Computer Science, 4-192 EE/CS Bldg., 200 Union St. SE, Minneapolis, MN 55455							
				- 				
EXAMINER			D	ATE CONSIDERE	D			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.